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#4 2661

Attorney Docket No. 10251-037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit : 2661

Examiner :

Application of	:	ROBERT J. FEILBOGEN et al.
Serial No.	:	09/848,931
Filing Date	:	May 4, 2001
Title	:	METHOD AND SYSTEM FOR INITIATING AND CLEARING TRADES

**INFORMATION
DISCLOSURE
STATEMENT**

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Brian Hirsant

July 24, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith an International Search Report, a PTO-1449 form and copies of the information listed thereon. The International Search Report issued in connection with an International patent application that is a counterpart of the above-identified U.S. patent application.

Applicants also submit herewith International Publication Number WO 00/77709.

Applicants respectfully request that the references listed on the enclosed PTO-1449 form be considered and entered of record in this application.

No fee is believed to be necessary in connection with the filing of this Information Disclosure Statement. However, should a fee be required, the Commissioner is hereby authorized to deduct this fee, and any other fee deemed appropriate, from Deposit Account No. 16-2500 of the undersigned.

Respectfully submitted,
PROSKAUER ROSE LLP
Attorneys for the Applicant

By Tzvi Hirshaut
Tzvi Hirshaut
Reg. No. 38,732

Date: July 24, 2001

PROSKAUER ROSE LLP
1585 Broadway
New York, NY 10036
Tel: (212) 969-3000

Enclosures: International Search Report
PTO-1449 Form
Copies of references listed

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Form PTO-1449 (Rev. 6-98)

U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.

10251-037

Serial No.

09/848,931

Applicant

Robert J. FEILBOGEN

Filing Date

May 4, 2001

Group Art Unit

2661

INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number							Date	Name	Class	Sub Class
	5	8	8	4	2	8	5	03/16/1999	Atkins		
	6	1	6	7	3	8	6	12/26/2000	Brown		
	6	0	1	6	4	8	3	01/18/2000	Rickard		
	5	9	8	7	4	3	2	11/16/1999	Zusman et al.		

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub Class	Translation	
						Yes	No
	WO 00/77709	21.12.2000	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	International Search Report – three pages.

Examiner	Date Considered
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP§60 : Draw line through citation if not in conformance and not considered, include copy of this form with next communication to the patent owner.

